

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Theodore Rodes, Jr., et al.

Application No.:

10/686,144

Confirmation No.:

6219

Filed On:

October 15, 2003

Art Unit:

Examiner:

3626

For: System and Method for Storing and Retrieving

Medical Directives

Mail Stop Amendment Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§ 1.97 AND 1.98

Dear Sir:

Applicants submit herewith their "Information Disclosure Statement," and a copy of the non patent literature document listed therein. Pursuant to the Notice from the U.S. Patent and Trademark Office ("PTO") dated October 12, 2004, the PTO has waived the requirement under 37 C.F.R. § 1.98(a)(2)(i) requiring submission of a copy of each cited U.S. patent and each cited U.S. patent application for all applications regardless of filing date and for all international applications entering the national stage under 35 U.S.C. § 371 regardless of U.S. filing date.

Since this Statement is being filed before the mailing date of a first Official Action on the merits, no fee is necessary.

### CERTIFICATION PURSUANT TO 37 C.F.R. §1.97(e)

The undersigned attorney states each item of information contained in this Information Disclosure Statement was cited in a Communication from a foreign Patent Office in a counterpart foreign patent application, namely PCT International Application No. PCT/US04/34077, mailed from the Office on December 13, 2005, which is not more than three (3) months prior to the filing of this Information Disclosure Statement.

While Applicants believe no fees are required with the filing of this Information Disclosure Statement, should any fees associated with this Communication be required,

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authorization to charge to Deposit Account No. 23-0280 is hereby given. A duplicate copy of this paper is enclosed for that purpose.

Respectfully submitted,

Date:

2-7-06

By:

Jeffrey R. Gargano, Reg. No. 38/148 Wallenstein Wagner & Rockey, Ltd. 311 South Wacker Drive, 53<sup>rd</sup> Floor Chicago, Illinois 60606-6630

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#### CERTIFICATE UNDER (37 C.F.R. § 1.8a)

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on.

Inda K. Johnson

PTO/SB/08a (07-05)

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stitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 10/686,144

Filing Date October 15, 2003

First Named Inventor Theodore Rodes, Jr.

Art Unit 3626

Examiner Name

Attorney Docket Number 4390 P 003

(Use as many sheets as necessary)

Sheet 1 of 2 Attorney Docket Number

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (if known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		us-2003/0040939 A1	02-27-2003	Tritch et al.	
		US-			
•		US-	<u>-</u>		
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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Examiner	Date	
Signature	Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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Signature

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(Use as many sheets as necessary)

Complete if Known			
Application Number	10/686,144		
Filing Date	October 15, 2003		
First Named Inventor	Theodore Rodes, Jr.		
Art Unit	3626		
Examiner Name			
Attorney Docket Number	1390 P 003		

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
		PCT International Search Report from International Application No. PCT/US04/34077 mailed December 13, 2005		
Examiner	<u> </u>	Date		

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